



COPY OF PAPERS
ORIGINALLY FILED
ORIGINALLY FILLED

Page 1 of 2

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/977,067	(10/11/2001)	2632	0.00	1404049	10	21	5

-- 10/12/2001 --

CONFIRMATION NO. 1830

FILING RECEIPT

OC000000007071532

David A. Pascarella, Esq.
Heslin Rothenberg Farley & Mesiti P.C.
5 Columbia Circle
Albany, NY 12203

Date Mailed: 11/15/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David Capano, Greenfield, NY;
Clark A. Henry, Scotia, NY;

William J. Antalek, Latham, NY;

Elwood L. Morrell JR., Altamont, NY; - Elwood L. Murrell Jr., Altamont, NY -
John H. Koegler JR., Pattersonville, NY;
Sharon G. Salvi, Stillwater, NY;

Assignment For Published Patent Application

ENVIRONMENT ONE CORPORATION, Niskayuna, NY;

Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/240,568 10/13/2000

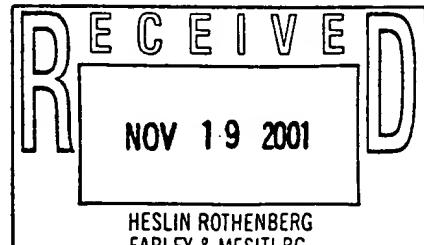
Foreign Applications

If Required, Foreign Filing License Granted 11/14/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No



Title

Apparatus and method for monitoring sewer system operation

Preliminary Class

340

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

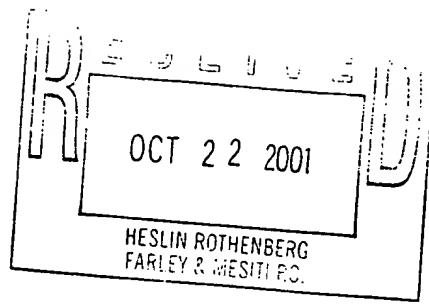
The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



COPY OF PAPERS
ORIGINALLY FILED
ORIGINALLY FILED

DAP
PFV-1

JG979 U.S. PTO

09/977067



10/12/01

Applicant:

Capano et al.

Title:

METHODS AND APPARATUS FOR MONITORING SEWER SYSTEM
OPERATION

Enclosed:

- *Utility Patent Application Transmittal Letter (Large Entity)
(4 pages) (in duplicate);
- *U.S. Patent Application, which includes:
Specification (21 pages); 21 claims (4 pages); and
Abstract of the Disclosure (one page);
- *Ten (10) sheets of informal drawings (FIGS. 1-11);
- *Bibliographic Data Sheet;
- *Unsigned Declaration and Power of Attorney;
- *Information Disclosure Citation and copies of (3) cited
references;
- *Certificate of Mailing by "Express Mail," No. EL910605195US;
- *One (1) acknowledgment postcard.

0830.061A/DAP/sk

Express Mailed: October 12, 2001
Date Due: October 13, 2001

NOV. - 19' 01 (MON) 15 2001 ROTHENBERG

TELE 518 452 5579

P. 001

TRANSACTION REPORT PATENT & TRADEMARK

Transmission

Transaction(s) completed

COPY OF PAPER
ORIGINALLY FILED
ORIGINALLY FILED

NO.	TX DATE/TIME	DESTINATION	DURATION	PGS.	RESULT	MODE
342	NOV. 19 15:18	17033087751	0' 00' 56"	004	OK	N ECM

Previous request for Corrected Filing Date.

0830.061A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Capano et al.

Serial No.: 09/977,067

Group Art Unit: Not yet assigned

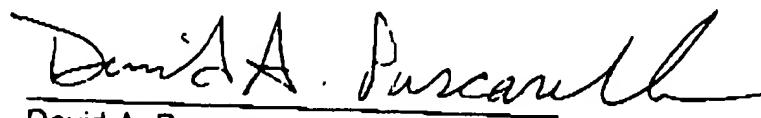
Filing Date: October 12, 2001

Examiner: Not yet assigned

Title: METHODS AND APPARATUS FOR MONITORING SEWER
SYSTEM OPERATION

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being sent via facsimile
transmission to Preston Wallace at the U.S. Patent and Trademark Office
at fax no. (703)308-7751 on November 19, 2001.

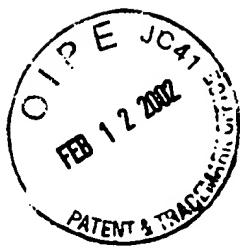


David A. Pascarella
Attorney for Applicants
Reg. No. 36,632

Date of Signature: November 19, 2001

To: Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Preston Wallace



copy of papers
originally filed

0830.061A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Capano et al.

Serial No.: 09/977,067

Group Art Unit: Not yet assigned

Filing Date: October 12, 2001

Examiner: Not yet assigned

Title: METHODS AND APPARATUS FOR MONITORING SEWER SYSTEM OPERATION

CERTIFICATE OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being sent via facsimile transmission to Preston Wallace at the U.S. Patent and Trademark Office at fax no. (703)308-7751 on November 19, 2001.

David A. Pasquale

David A. Pascarella
Attorney for Applicants
Reg. No. 36,632

Date of Signature: November 19, 2001

To: Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Preston Wallace

Sir:

**COMMUNICATION REQUESTING CORRECTION
OF OFFICIAL FILING DATE**

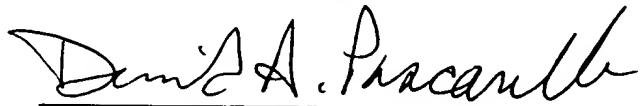
Applicant encloses herewith a copy of the acknowledgment postcard which was returned to applicants' undersigned attorney indicating the serial number and filing date in connection with the above-identified application which was mailed via "Express Mail" to the U.S. Patent and Trademark Office on **October 12, 2001**.

After careful review of the postcard, it was noted that the filing date was incorrect. The postcard indicates a filing date of October 11, 2001 instead of October 12, 2001.

Enclosed herewith is a copy of the acknowledgment postcard containing the incorrect filing date and also, a copy of the Express Mail Certificate indicating the mailing date of October 12, 2001. Applicants hereby request that the filing date be corrected and changed to October 12, 2001, the date on which the above-referenced patent application was sent via "Express Mail" to the U.S. Patent and Trademark Office.

Should there be any questions regarding this request, please contact the undersigned at the telephone number or facsimile number listed below.

Respectfully submitted,



David A. Pascarella
Attorney for Applicants
Registration No. 36,632

Dated: November 19, 2001

HESLIN ROTHENBERG FARLEY & MESITI P.C.
5 Columbia Circle
Albany, New York 12203
Telephone: (518) 452-5600
Facsimile: (518) 452-5579